Table of Contents

1. License 3
   1.1. OPTION A: OCP CLA OR 3
   1.1. OPTION B: Open Web Foundation (OWF) CLA 4
   1.2 Acknowledgements 5
2. Compliance with OCP Tenets 6
   2.1. Openness 6
   2.2. Efficiency 6
   2.3. Impact 6
   2.4. Scale 7
3. Change Log 8
4. Scope 9
5. Overview 11
   5.1 Base Specification 11
6. Environmental Regulatory Compliance And Requirements 12
7. Physical Specifications 12
   7.1 Mechanical 13
   7.2 Rack Compatibility 13
8. Electrical Requirements 13
9. Thermal Design Requirements 13
10. Interfaces 13
   10.1 Signal List 14
   10.2 Rear Side Power, I/O, Expansion Board and Midplane Subsystems 14
11. Onboard Power System 14
12. Prescribed Materials 15
13. System Firmware 15
14. Hardware Management 15
15. Security 16
16. Software Support (recommended) 17
17. Arm SystemReady (only for Arm-based Systems) 17
18. References (recommended) 17

Appendix A - Checklist for IC approval of this Specification (to be completed by contributor(s) of this Spec) 18
Appendix B - Contribution Process FAQs 19
1. License

PLEASE PICK EITHER THE OCP CLA OPTION OR THE OWF OPTION. ONLY ONE CAN BE USED. DELETE THE ONE NOT USED.

☐ OPTION A: OCP CLA
☐ OPTION B: Open Web Foundation (OWF) CLA

1.1. OPTION A: OCP CLA OR

Contributions to this Specification are made under the terms and conditions set forth in Open Compute Project Contribution License Agreement (“OCP CLA”) (“Contribution License”) by:

[Contributor Name(s) or Company name(s)]

You can review the Contributor License(s) for this Specification on the OCP website at https://www.opencompute.org/legal-documents. For actual executed copies of either agreement, please contact OCP directly.

Usage of this Specification is governed by the terms and conditions set forth in [select one:] Modified OWFa1.0 Final Specification Agreement (FSA) (As of August 16, 2021) or Open Compute Project Hardware License – Permissive (“OCPHL Permissive”) or Open Compute Project Hardware License – Reciprocal (“OCPHL Reciprocal”) also known as a “Specification License”.

Notes:

1) The above license does not apply to the Appendix or Appendices. The information in the Appendix or Appendices is for reference only and non-normative in nature.

NOTWITHSTANDING THE FOREGOING LICENSES, THIS SPECIFICATION IS PROVIDED BY OCP "AS IS" AND OCP EXPRESSLY DISCLAIMS ANY WARRANTIES (EXPRESS, IMPLIED, OR OTHERWISE), INCLUDING IMPLIED WARRANTIES OF MERCHANTABILITY, NON-INFRINGEMENT, FITNESS FOR A PARTICULAR PURPOSE, OR TITLE, RELATED TO THE SPECIFICATION. NOTICE IS HEREBY GIVEN, THAT OTHER RIGHTS NOT GRANTED AS SET FORTH ABOVE, INCLUDING WITHOUT LIMITATION, RIGHTS OF THIRD PARTIES WHO DID NOT EXECUTE THE ABOVE LICENSES, MAY BE IMPLICATED BY THE IMPLEMENTATION OF OR COMPLIANCE WITH THIS SPECIFICATION. OCP IS NOT RESPONSIBLE FOR IDENTIFYING RIGHTS FOR WHICH A LICENSE MAY BE REQUIRED IN ORDER TO IMPLEMENT THIS SPECIFICATION. THE ENTIRE RISK AS TO IMPLEMENTING
OR OTHERWISE USING THE SPECIFICATION IS ASSUMED BY YOU. IN NO EVENT WILL OCP BE LIABLE TO YOU FOR ANY MONETARY DAMAGES WITH RESPECT TO ANY CLAIMS RELATED TO, OR ARISING OUT OF YOUR USE OF THIS SPECIFICATION, INCLUDING BUT NOT LIMITED TO ANY LIABILITY FOR LOST PROFITS OR ANY CONSEQUENTIAL, INCIDENTAL, INDIRECT, SPECIAL OR PUNITIVE DAMAGES OF ANY CHARACTER FROM ANY CAUSES OF ACTION OF ANY KIND WITH RESPECT TO THIS SPECIFICATION, WHETHER BASED ON BREACH OF CONTRACT, TORT (INCLUDING NEGLIGENCE), OR OTHERWISE, AND EVEN IF OCP HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

1.1. OPTION B: Open Web Foundation (OWF) CLA

Contributions to this Specification are made under the terms and conditions set forth in Modified OWF-CLA-1.0 (As of August 16, 2021) (“Contribution License”) by:

[Contributor Name(s) or Company name(s)]

Usage of this Specification is governed by the terms and conditions set forth in Modified OWFa1.0 Final Specification Agreement (FSA) (As of August 16, 2021) (“Specification License”).

You can review the applicable Specification License(s) referenced above by the contributors to this Specification on the OCP website at http://www.opencompute.org/participate/legal-documents/. For actual executed copies of either agreement, please contact OCP directly.

Notes:

1) The above license does not apply to the Appendix or Appendices. The information in the Appendix or Appendices is for reference only and non-normative in nature.

NOTwithstanding the foregoing licenses, this specification is provided by OCP "AS IS" and OCP expressly disclaims any warranties (express, implied, or otherwise), including implied warranties of merchantability, non-infringement, fitness for a particular purpose, or title, related to the specification. Notice is hereby given, that other rights not granted as set forth above, including without limitation, rights of third parties who did not execute the above licenses, may be implicated by the implementation of or compliance with this specification. OCP is not responsible for identifying rights for which a license may be required in order to implement this specification. The entire risk as to implementing or otherwise using the specification is assumed by you. In no event will
OCP BE LIABLE TO YOU FOR ANY MONETARY DAMAGES WITH RESPECT TO ANY CLAIMS RELATED TO, OR ARISING OUT OF YOUR USE OF THIS SPECIFICATION, INCLUDING BUT NOT LIMITED TO ANY LIABILITY FOR LOST PROFITS OR ANY CONSEQUENTIAL, INCIDENTAL, INDIRECT, SPECIAL OR PUNITIVE DAMAGES OF ANY CHARACTER FROM ANY CAUSES OF ACTION OF ANY KIND WITH RESPECT TO THIS SPECIFICATION, WHETHER BASED ON BREACH OF CONTRACT, TORT (INCLUDING NEGLIGENCE), OR OTHERWISE, AND EVEN IF OCP HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

1.2 Acknowledgements

The Contributors of this Specification would like to acknowledge the following companies for their feedback:

List all companies or individuals who may have assisted you with the specification by providing feedback and suggestions but did not provide any IP.
2. Compliance with OCP Tenets

Please describe how this Specification complies to the following OCP tenets. Compliance is required for at least four of the five tenets (Sustainability is a required tenet). The ideals behind open sourcing stipulate that everyone benefits when we share and work together. Any open source project is designed to promote sharing of design elements with peers and to help them understand and adopt those contributions. There is no purpose in sharing if all parties aren't aligned with that philosophy. The OCP Incubation Committee will look beyond the contribution for evidence that the contributor is aligned with this philosophy. The contributor actions, past and present, are evidence of alignment and conviction to all the tenets.

A full explanation of the OCP core tenets can be seen here.

2.1. Openness

The measure of openness is the ability of a third party to build, modify, or personalize the device or platform from the contribution. OCP strives to achieve completely open platforms, inclusive of all programmable devices, firmware, software, and all mechanical and electrical design elements, including ancillary, external components or tools such as software utilities necessary to modify or use design contributions. Barriers to achieving this goal should be constantly addressed and actions taken to remove anything that prevents an open platform. Openness can also be demonstrated through collaboration and willingness to share, seek feedback, and accept changes to design and specification contributions under consideration. Ensure this contribution can be extended and enhanced by others.

2.2. Efficiency

Continuous improvement has been a fundamental value of the industry. New contributions (and updates to existing contributions) shall be more efficient than existing or prior generation contributions. Efficiency can be measured in many ways - OpEx and CapEx reduction, performance, modularity, capacity, power or water consumption, raw materials, utilization, size or floorspace are some examples. The goal is to express efficiency with clear metrics, valued by end-users, when the contribution is proposed.

2.3. Impact

OCP contributions should have a transformative impact on the industry. This impact can come from introducing new technology, time-to-market advantage of technology, and/or enabling technology through supply chains that deliver to many customers in many regions of the world. New technologies are impactful when such technology is enabled through a global supply chain.
channel. One example is the NIC 3.0 specification which achieved global impact by having over 12 companies author, adopt, and supply products that conformed to the specification. Another example is emerging and open security features that establish and verify trust of a product.

2.4. Scale

OCP contributions should be designed such that end products may be easily implemented and/or deployed, irrespective of quantity, with minimal intervention. Ensure all necessary tools, such as supporting documentation, etc., are included in the final contribution.

2.5. Sustainability

OCP contributions must be sustainable. Submissions should maximize transparency of environmental impacts of the contribution, with the aspiration of improvement over time.

Other focuses:
- Conscientious use of our natural resources (land, air, power, water and materials)
- Fostering positive societal impacts
- Minimizing Environmental Harm

Practically this can be realized in a base specification as high level design requirements, or architectural decisions, for example, that reflect this intent.
### 3. Change Log

<table>
<thead>
<tr>
<th>Date</th>
<th>Version #</th>
<th>Author</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>03JUL23</td>
<td>1.1</td>
<td>Bijan Nowroozi</td>
<td>Edited the goals in the overview for clarity</td>
</tr>
<tr>
<td>08AUG23</td>
<td>1.2</td>
<td>Bijan Nowroozi</td>
<td>Changed some of the sustainability text</td>
</tr>
<tr>
<td>06NOV23</td>
<td>1.3</td>
<td>Bijan Nowroozi</td>
<td>Corrected some errata like Base Specification references</td>
</tr>
</tbody>
</table>


4. Scope

This document defines a Hardware Product Specification.

The Product Specification captures manufacturing requirements, building on the Design Specification. Typically even fewer companies will engage to create a single product specification, but the goal is to increase the total number of products that meet a Design Specification (derived from a Base Specification). The resulting Product Spec shall be contributed to OCP (via a Final Specification Agreement: FSA). A product typically goes through much effort for qualification and mass-production readiness beyond what specified in a typical design spec.

Product Specifications can be reused! I.e., assuming the base and design specifications allow, if one contributor creates a 110VAC design specification, another team could reuse and make an -48VDC product specification.

At Product(ization) Phase, even fewer companies may be involved to develop a specific final product for contribution to OCP. A Product may be submitted to OCP for “OCP Accepted™” or “OCP Inspired™” designation (with different levels of collateral such as a Design Package).

Please see the presentation and recording on the Modular Contribution Process from the OCP Global Summit 2022 for more information.

This document defines the technical details for one of the following types of specifications:
- Product Specification for an intended physical <hardware product type>
- modification of an existing product specification (state which existing spec is being modified)
  - either a complete revision update or
  - a minor version update
- a detailed specification for a <product type> with a product typically being available in 120 days of approval of this Spec.

Note: Any supplier seeking OCP recognition, for example OCP Accepted, for a hardware product, must be using a product spec that is 100% compliant with the preceding Base requirements, Design specification and these Product Specifications as described.

Examples are provided for reference only and can be found in the OCP Contribution Portal:
De-facto Standard -
Cooling Environments:
Server - DC-MHS Modular Specs *
Open Compute Project • <Specification Title>

Network -
Rack -
Storage -
Cards -
Revision Update -
Version Update -

* Note: Few Design Specifications were submitted prior to the final approved template.
5. Overview

Describe your contribution and the modularity of this spec within the framework of modular specification process (this might be the openness tenet too) Include the problems it addresses. Explain its utility within the Open Compute Project ecosystem.

5.1 Design Specification

List the base and design specification(s) this document complies to.
INSTRUCTIONS FOR ALL FOLLOWING SECTIONS:

1. Sections 6 – 17 are required to document features and functions of the Hardware system, platform or card. If a section is not applicable to your contribution, you may skip the section and leave it blank.

2. Where possible, please use the OCP Terminology Guidelines for Inclusion and Openness.

3. No NDA (Non-disclosure Agreement) or confidential material should be included in the document or charts. This will be an OPEN document.

6. Environmental / Regulatory Requirements

Please describe any environmental regulations or requirements for any platform boards and full system, if applicable. It’s a best practice to include reference to compliance verification tests if applicable. IF nothing changes from the base specification updating this section is optional, else please add any modifications and it’s always best practice to add references.

Note to author of this specification: This section can include the following but is not limited to the below items:

- UL/CE/NRTL/FCC/IEC/EN/etc Certification
- RoHS/WEE directives, REACH regulations
- NEBS compliance requirements
- Gaseous Contamination
- Operating temperature range
- Storage temperature range
- Transportation temperature range
- Shock and Vibration requirements
- Operating Altitude

7. Physical Specifications

Please describe the actual physical specifications for your contribution. This may include the limitations of the physical envelope.

If this specification defines a chassis type system, be sure to include the description of the chassis and associated modules, midplane, backplanes etc….

Note to author of this specification: This section can include the following but is not limited to the below items:

- Block Diagrams
7.1 Mechanical

Please describe any key mechanical features as implemented, of your contribution.

Note to author of this specification: This section can include the following but is not limited to the below items:

- Chassis
- Single sled/double sled
- Rack Attachment details
- Fixed location
- Handles on motherboards
- levers or arms

7.2 Rack Compatibility

Please describe if your contribution will be used in a rack installation. If the contribution is rack mountable, the specification must be compliant with one of the following OCP approved rack types: OpenRack (V2.X and beyond), EIA-310, OpenEdge.

8. Electrical Requirements

Please describe implementation required electrical power specifications. Example: Power Input +48VDC, 110VAC, peak power, etc…

9. Thermal Design Requirements

Please describe the thermal design specifications for your contribution and any CFD and/or thermal models etc...

Note to author of this specification: Examples include:
- Cooling Media
- Flow Management
- Fan Controls

10. Interfaces

Please describe the design details of the implementation of the I/O System of the contribution, be sure to delineate the control and data planes.
10.1 Signal List

Note to author of this specification: Examples only
- Power and Ground
- Synchronization/Clocks
- PCIe
- i2C/i3C
- GPIO
- USB 3.0

10.2 Rear Side Power, I/O, Expansion Board and Midplane Subsystems

Please describe any modular implementation details of the contribution.

Note to author of this specification: This section can include the following but is not limited to the below items:
- Overview of Footprint and Population Options
- Rear Side Connectivity
- Midplane
- Expansion
- Fixed, redundant, modular, pluggable, adapter?
- AC or DC Power
- Use of any Blind Mate Connectors

11. Onboard Power System

Please describe the implementation details of the main power system in your contribution.

Note to author of this specification: This section can include the following but is not limited to the below items:
- Voltage Regulators (CPU/Dimm/Multi-core)
- Power Management
- Input voltages
- Hot swap controller circuit
- Hard drive power
- Power Tree
- Power Policy
- Power Budget
- Platform Budget
- Capacitive Load
12. Prescribed Materials

Please list any prescribed, disallowed or derated materials in your contribution. This should include any specific components that are being referenced but not contributed.

Note to author of this specification: This section can include the following but is not limited to the below items:
  - Disallowed components
  - FETs, Capacitors and Inductors
  - De-rated materials

13. System Firmware

Please document firmware function, features and implementation including documentation package, a firmware image, licensing and distribution rights, explanation of ownership rights, system build utilities, test regime explanations, standards compliance, options for changing firmware configurations, and how firmware upgrades can be accomplished.

Note to author of this specification: This section can include the following but is not limited to the below items:
  - BIOS Chip
  - BIOS Source Code
  - BIOS Feature Requirements
  - Firmware Feature Plan of Record

14. Hardware Management

Please document the hardware management implementation of your contribution.

Note to author of this specification: This section should include the following below items:
  - Statement on whether the contribution supports out-of-band manageability.
  - Statement on the modularity of the manageability architecture. (i.e. is an OCP management module used?)

Note to author of this specification: This section can include the following but is not limited to the below items:
  - Out-of-band management
    - Dedicated or shared NIC
    - In which power state is the OOB management enabled
    - Details about the BMC (size, memory, storage)
  - A list of on-platform manageability interfaces and implementation details:
    - Connections: I2C/I3C, SMBus, RMII,
    - Transport Protocol: MCTP, IPMI (KCS, BT, etc)
Open Compute Project • <Specification Title>

- Commands constructs: PLDM …, IPMI, SPDM, CPER
  - A list of components whose firmware which can updated programatically
    - Which support failover/rollback mechanisms
  - A list of diagnostic or management LEDs
  - A list of HW telemetry/sensors
  - A list of HW controls
  - Whether conformance to OCP Profiles has been tested
  - For Arm-based Servers, whether conformance to Arm Server Base Manageability Requirements Specification has been followed. If so, please also indicate the conformance level (e.g., M2)

15. Security

Please briefly describe security functionality that your specification implements. Details as listed below should only apply to implementation specific information (differs in some way to the base specification). Omit items that do not apply and add items that are missing.

- For cryptography, key derivation, key agreement, and hashing, identify
  - Required algorithms, modes, strengths, and usage
  - Required compliance with national or international standards
  - Acceptable sources of entropy
  - Acceptable certifications of algorithm implementations
  - Recommended certifications of cryptographic modules
  - Recommended safeguards against cryptanalysis by quantum computers

- Required flow of Secure Boot starting from hardware root(s) of trust
- Required measurements from hardware reset through firmware
- Required attestation protocols

- Acceptable environments and processes for provisioning keys and device secrets
- Acceptable processes for identifying CVEs and distributing field updates to address them
- Acceptable Secure Boot and Attestation key lifecycle management (from generation through revocation)

- Recommended standards for software bills of materials
- Recommended firmware recovery mechanisms

Note to author of this specification:
Required = Required now
Acceptable = Required now and chosen from a list of acceptable alternatives
Recommended = Recommended now, but required by a specified future date


Date: XXXX, 2XXXX
16. Software Support (recommended)

Please document any specific software and/or tools used to operate, start up and/or validate the hardware and include test and validation results using virtual simulation, predicted performance based upon digital models, or proof of manufacturability via 3-D tools.

17. Arm SystemReady (only for Arm-based Systems)

Please document the full Arm SystemReady certificate information: Company, System, SoC Family, Firmware Version, Date Issued.

For Server Sleds, Open Edge Sleds and Monolithic Servers, the certification of either SystemReady SR or LS certification is required.

For Storage and Networking, the certification of SystemReady SR, LS, ES, or IR is recommended.

For Systems that are SystemReady SR, ES or IR certified, SystemReady Security Interface Extension (SIE) certification is recommended.

More details on Arm SystemReady can be found at https://www.arm.com/architecture/system-architectures/systemready-certification-program.

18. References (recommended)

1. “Title”, publication year, publication journal/conference/standard, volume, pages, link to publication if available
Appendix A - Checklist for IC approval of this Specification (to be completed by contributor(s) of this Spec)

Complete all the checklist items in the table with links to the section where it is described in this spec or an external document.

<table>
<thead>
<tr>
<th>Item</th>
<th>Status</th>
<th>Link to detailed explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Has this contribution been presented to an OCP Project group during a project call or engineering workshop?</td>
<td>Yes or No</td>
<td>If “No”, please state the reason.</td>
</tr>
<tr>
<td>Approval by Project Leads</td>
<td>Yes or No</td>
<td>If “No”, please state the reason.</td>
</tr>
<tr>
<td>Is this contribution entered into the OCP Contribution Portal?</td>
<td>Yes or No</td>
<td>If “No”, please state the reason.</td>
</tr>
<tr>
<td>Was it approved in the OCP Contribution Portal?</td>
<td>Yes or No</td>
<td>If “No”, please state the reason.</td>
</tr>
</tbody>
</table>
Appendix B - Contribution Process FAQs

As a contributor to a hardware specification, here are some questions that often come up.

**Q1. What type of specification am I contributing to OCP?**

- **The Product Specification** for a de-facto standard (ex: interface type)
- The Product Specification for a new product <product type>
- Modification of an existing <type> specification (state which existing spec is being modified) resulting in a revised specification.
- **Product specification** (based on an existing Product Specification) with different design details
- If none of the above, please contact OCP Staff for better direction.

**Q2. How do I know if what I am contributing will be accepted by OCP?**

- Before contributing any specifications, please contact either OCP Staff (Rob Coyle, Michael Schill) or the Project Lead for the Project that best represents your contribution. They will guide you as to what's the best form for your contribution. Project List [here](#).

**Q3. What is the contribution process for my hardware spec?**

- Follow the flow for your spec type [here](#).

**Q4. What if my spec is not developed yet and I want to collaborate with other companies?**

- Please contact either OCP Staff (Rob Coyle or Michael Schill) or the Project Lead for the Project that best represents your contribution. They will help you find other collaborators and help you with the contribution process for a multi-party contribution.

**Q5. I have a question about the Contribution License Agreement (CLA).**

- Please contact OCP Staff and we can help you with questions.